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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. FL-1068 US NA

First Inventor Barbara Haviland Minor et al.

Title Refrigerant Compositions Containing a Compatibilizer

Express Mail Label No. EK004593304US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 67]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding First Sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages 1]
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 CFR 1.63 (d))
 (for a continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. §3.73(b) Statement Power of
(when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP) of prior application No. _____ /
 Prior application information: Examiner _____ Group / Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label***23906*****23906**

PATENT TRADEMARK OFFICE

or Correspondence address below

Name				
Address				
City	State		Zip Code	
Country	Telephone		Fax	
Name (Print/Type)	Mark A. Edwards		Registration No. (Attorney/Agent)	39,542
Signature			Date	06 December 2001

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10/01/01 87
12/06/01

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1272

Complete if Known

Application Number	Application Filed Herewith
Filing Date	Filed Herewith
First Named Inventor	Barbara Haviland Minor et al.
Examiner Name	Unknown
Group / Art Unit	Unknown
Attorney Docket No	FL-1068 US NA

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None Deposit Account:

Deposit Account Number	04-1928
Deposit Account Name	E. I. du Pont de Nemours and Company

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION (continued)

3. ADDITIONAL FEES		Fee Description		Fee Paid []
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	
		105	130	205 65
		127	50	227 25
		139	130	Surcharge - late filing fee or oath
		147	2,520	Surcharge - late provisional filing fee or cover sheet.
		112	920*	Non-English specification
		113	1,840*	For filing a request for reexamination
		115	110	Requesting publication of SIR prior to Examiner action
		116	400	Requesting publication of SIR after Examiner action
		117	210	Extension for reply within first month
		118	1,440	Extension for reply within second month
		126	1,960	Extension for reply within third month
		119	320	Extension for reply within fourth month
		120	320	Extension for reply within fifth month
		121	280	Notice of Appeal
		138	1,510	Filing a brief in support of an appeal
		140	110	Request for oral hearing
		141	1,280	Petition to institute a public use proceeding
		142	1,280	Petition to revive – unavoidable
		143	460	Petition to revive – unintentional
		144	620	Utility issue fee (or reissue)
		122	130	Design issue fee
		123	50	Plant issue fee
		126	126	Petitions to the Commissioner
		581	40	Processing fee under 37 CFR 1.17(q)
		581	581	Submission of Information Disclosure Stmt
		146	740	Recording each patent assignment per property (times number of properties)
		149	740	Filing a submission after final rejection (37 CFR § 1.126(e))
		179	740	For each additional invention to be examined (37 CFR § 1.129(b))
		169	900	Request for Continued Examination (RCE)
				Request for expedited examination of a design application

Other fee (specify) _____

SUBTOTAL (2) (\$) 532

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Mark A. Edwards	Registration No. Attorney/Agent)	39,542	Telephone	(302) 692-5909	
Signature					Date	December 6, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments or the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.